

PTO/SB/08 A &amp; B (modified)

*Complete if Known*

Application Number	10/050,575
Confirmation Number	7057
Filing Date	January 18, 2002
First Named Inventor	Stephen William ROBERTS
Art Unit	2874
Examiner Name	Not yet Assigned
Attorney Docket Number	O68180

(use as many sheets as necessary)

Sheet	1	of	1
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## U.S. PATENT DOCUMENTS

[illegible]

**FOREIGN PATENT DOCUMENTS**

[illegible]

## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation*
Aull		P.D. TRINH et al., <i>Silicon-on-Insulator (SOI) Phased-Array Wavelength Multi/Demultiplexer with Extremely Low-Polarization Sensitivity</i> , Photonics Technology Letters, Vol. 9. No. 7. July 1997	
		U. FISCHER et al., <i>Singlemode optical switches based on SOI waveguides with large cross-section</i> , Electronics Letters March 3, 1994 Vol. 30 No. 5	

**Examiner Signature**

Date Considered

6	22	04
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Substitute for form 1449 A &amp; B/PTO

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<b>INFORMATION DISCLOSURE</b> <b>STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>		<b>Complete if Known</b>	
		Application Number	10/050,575
		Confirmation Number	7057
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Sheet	1	of	1

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US			
		US			
		US			
		US			
		US			

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
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Aullal		FISCHER U. ET AL, "0.1 DB/CM Waveguide Losses In Single-Mode SOI Rib Waveguides", IEEE Photonics Technology Letters, IEEE Inc. New York, US, Vol. 8, No. 5, 1 May 96, pgs. 647-648	
		H. TAKAHASHI ET AL, "Polarization-Insensitive Arrayed-Waveguide Wavelength Multiplexer with Birefringence Compensating Film", IEEE Photonics Technology Letters, Vol. 5, No. 6, 1 June 1993 pgs. 707-709.	
		M. OKUNO ET AL, "Birefringence Control of Silica Waveguides on SI and its Application to a Polarization-Bram Splitter/Switch", Journal of Lightwave Technology, IEEE, New Your, US, Vol. 12, No. 4, 1 April 1994, pgs. 625-633	

Examiner Signature	Aullal	Date Considered	6/22/2004
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